UZen Securities Limited
眾宸證券有限公司

CE No.BFY678 中央編號:BFY678

Commission & Charges (HK) 佣金及收費 (港股)

	Commission 佣金		**Remark 備注**
1	Offline Trading 電話落盤	0.25% of transaction amount (Minimum Charge $100) 成交金額的 0.25% (最低收費$100)	
2	Place Order by Mobile Phone 流動電話下單	0.06% of transaction amount (Minimum Charge $4) 成交金額的 0.06% (最低收費$4)	
	Charges 收費		
3	Stamp duty 印花稅	0.1% of transaction amount (rounded up to the nearest dollar) 成交金額的 0.1%(以整數計算,成交金額每港幣 1000 元收港幣 1 元)	collected for HKSAR Government 香港特區政府收取
4	SFC Transaction levy 交易徵費	0.0027% of transaction amount 成交金額的 0.0027%	collected for the SFC of Hong Kong 香港證監會收取
5	AFRC Transaction Levy 會財局交易徵費	0.00015% of transaction amount 成交金額的 0.00015%	collected for the Accounting and Financial Reporting Council 會計及財務匯報局(會財局)收取
6	HKEx trading fee 交易費	0.00565% of transaction amount 成交金額的 0.00565%	collected for the HKEX 香港交易所收取
7	CCASS Stock Settlement Fee 結算系統交收費用	0.0042% of Transaction Amount (Maximum charge: HKD100,Minimum Charge $2) 成交金額的 0.0042% (最高收費$100 元,最低收費$2)	
8	Platform Fee 平台費	$5 per order 平台使用費每單$5	
9	Stock receipt and delivery instruction 股票轉倉指示	ISI - Free 免費 SI - Per stock HKD 200 - Free for settlement purposes SI – (以每隻股票計算)HKD 200	We pay CCASS for our customers ISI- $1 each time SI- $1 each time, plus 0.002% of settlement fee of the transacted value (Min $2 per order, Max $100 per order) 本公司代客戶繳付予香港結算公司: ISI - 每次 $1 SI - 每次 $1 加交易金額 0.002% 之股票交收費 (每單交易最低為 $2,最高為 $200)
10	Scrip Custody Fee 股票保管費	Free 免費	CCASS charges $0.012 per lot per month, up to $100,000 per month. Odd lot is counted as 1 lot 香港結算公司則收取每手每月 $0.012,最高為每月 $100,000, 碎股亦視作一手
11	Collection Fee of preferred shares/Options/ Rights /stocks issues substituting dividends 代收紅股/認股證/供股權證/以股份代息	Free 免費	
12	Scrip Deposit Fee 股票存倉費	Free 免費	
13	Physical Scrip Withdrawal Charge 實貨股票提取費	$5 per lot, odd lot is counted as 1 lot 每手 $5,碎股亦視作一手	$3.5 per lot is charged by CCASS. Odd lot is counted as 1 lot 其中每手 $3.5 代香港結算公司收取,碎股亦視作一手
14	Transfer Stamp duty 轉手釐印費	$5 per each new transfer 每張新轉手紙收取 $5	collected for HKSAR Government 香港特區政府收取
15	Collection Fee of dividends 代追收股息委托費	$500 per order plus 0.5% dividend as handling charge. Min handling charge is $20. Max is $10,000 每筆 $500 + 0.5% 股息手續費 (手續費最低為 $20,最高為 $10,000)	Includes the charge from CCASS $200, plus 0.12% dividend handling fee. Min of handling fee is $20 and max is $10,000 已包括香港結算公司則收取 $200 + 0.12% 股息手續費 (手續費最低為 $20,最高為 $10,000)
16	Fee For Rights Exercise/Options Exercise 供股權及認股權認購	Each order $100 plus $0.80 per lot for CCASS. Max is $10,000 每單 $100 + 每手 $0.80 (最高為 $10,000)	Includes the charge from CCASS $200, plus 0.12% dividend handling fee. Min of handling fee is $20 and max is $10,000 其中每手 $0.80 為香港結算公司收取 (最高為 $10,000)
17	IPO Application charge 代辦認購新股手續費	Cash Application : $20 each time 現金申請:每次收費 $20 Margin Application : $100 each time 孖展申請:每次收費 $100	

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18	Handling fee for collection of dividend/ bonus 代收股息/紅利手續費	0.5% of dividend amount. (min $20 max $10,000) 0.5% 按股息金額計算 (最低為 $20,最高為 $10,000)	0.12% is charged by CCASS (Max is $10,000) 其中 0.12% 為香港結算公司收取 (最高為 $10,000)
19	Scrip Fee 股票過戶費	HKD1.5 per board lot (Round up to the nearest board lot) 每手港幣 1.5 元 (不足 1 手,作 1 手計算)	Scrip Fee are charged by CCASS 股票過戶費為香港結算公司收取
20	Nominee Service & Corporate Actions Fee 代理人服務及企業行動手續費	HKD100 handling fee per stock per request and HKD0.80 per board lot collected by CCASS(round up to the nearest board lot) 每隻股票每次手續費港幣 100 元+香港結算收取每手港幣 0.80 元(不足 1 手,作 1 手計算)	Subscription of Rights/Warrants, Privatization, Open Offer etc. 代供股權/認股證、私有化、收購等
21	Returned cheque 退票手續費	$100 / transaction 每次$100	
22	Cheque terminated payment order 支票停止付款	$100 / transaction 每次$100	Termination of cheque payment after deposited (penalty received on behalf of bank) 支票存入客戶的銀行戶口後,如需銀行停止該項入數 (代銀行收取)
23	Retrieving Monthly Statement handling fee 補回月結單手續費	$20 per month/per account 每月$20	For retrieving statements 3 months ago or over (within 3months will free of charge) 要求補發 3 個月或之前的月結單(3 個月之內免費)

Note: Charges & fees are subject to change without prior notice.
THE PRICES OF SECURITIES FLUCTUATE, SOMETIMES DRAMATICALLY. THE PRICE OF A SECURITY MAY MOVE UP AND DOWN, AND MAY BECOME VALUELESS. IT IS LIKELY THAT THE LOSSES WILL BE INCURRED RATHER THAN PROFIT MADE AS A RESULT OF BUYING AND SELLING SECURITIES.
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證券價格有時可能會非常波動。證券價格可升可跌,甚至變成毫無價值。買賣證券未必一定能夠賺取利潤,反而可能會招致損失。

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